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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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|-------------|----------------------------|---|-------------|----------|
| Applicants: | San-qi Li, et al. |) | Docket No.: | 5019.4-1 |
| | |) | | |
| Serial No.: | 09/626,400 |) | Examiner: | TBD |
| | |) | | |
| Filed: | July 26, 2000 |) | Art Unit: | 2745 |
| | |) | | |
| For: | METHOD OF ACTIVE DYNAMIC |) | | |
| | RESOURCE ASSIGNMENT IN A |) | | |
| | TELECOMMUNICATIONS NETWORK |) | | |

**RESPONSE TO NOTICE TO FILE MISSING PARTS
OF NONPROVISIONAL APPLICATION**

Commissioner for Patents
Washington, D.C. 20231
Attention: Box Missing Parts

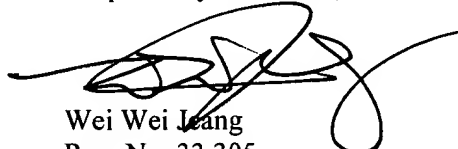
Dear Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application mailed September 11, 2000, Applicants submit herewith:

1. Return copy of the Notice to File Missing Parts of Nonprovisional Application;
2. Executed Statement Claiming Small Entity Status;
3. Check in the amount of \$65.00 for the payment of the surcharge as small entity;
4. Return Receipt Postcard; and
5. This transmittal submitted in duplicate.

The Commissioner is hereby authorized to credit any overpayments or charge additional fees to Deposit Account 13-4900.

Respectfully submitted,


Wei Wei Leang
Reg. No. 33,305

Date: 10/2/2000
MUNSCH HARDT KOPF & HARR, P.C.
4000 Fountain Place
1445 Ross Avenue
Dallas, Texas 75202-2790
Telephone: 214-855-7510
Facsimile: 214-855-7584

R:\5019\4\PTO response to missing parts.doc

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commission for Patents, Washington, DC 20231, Attention: Box Missing Parts on the date shown below.

Mark R. Colum
Name

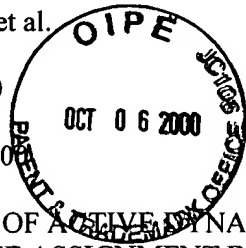
10-2-00
Date

FILE COPY

D-3,
#5 12-15-00
TO C.F.R.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: San-qi Li, et al.)
Serial No.: 09/626,400)
Filed: July 26, 2000)
For: METHOD OF ACTIVE DYNAMIC)
RESOURCE ASSIGNMENT IN A)
TELECOMMUNICATIONS NETWORK)



Docket No.: 5019.4-1
Examiner: TBD
Art Unit: 2745

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TC 2700 MAIL ROOM

REQUEST TO CORRECT FILING RECEIPT

Commissioner for Patents
Washington, D.C. 20231
Attention: Office of Initial Patent
Examination's Customer Service Center

Dear Sir:

Attached is a copy of the official filing receipt received from the U.S. Patent and Trademark Office in the above-identified patent application in which issuance of a corrected filing receipt is respectfully requested.

The word "telecommunications" in the title of the invention is misspelled. Please change the title as follows:

METHOD OF ACTIVE DYNAMIC RESOURCE ASSIGNMENT
IN A TELECOMMUNICATIONS NETWORK

The correction is not due to any error by applicants and therefore no fee is due.

Respectfully submitted,

Wei Wei Jeang
Reg. No. 33,305

Date: 10/2/2000
MUNSCH HARDT KOPF & HARR, P.C.
4000 Fountain Place
1445 Ross Avenue
Dallas, Texas 75202-2790
Telephone: 214-855-7510
Facsimile: 214-855-7584

R:\5019\4\PTO request to correct filing receipt.doc

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commission for Patents, Washington, DC 20231, Attention: Office of Initial Patent Examination's Customer Service Center on the date shown below.

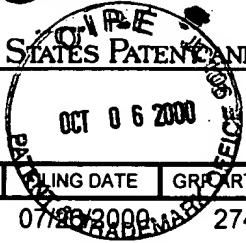
Willi R. Colvin
Name

10-2-00
Date



COPY

UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING DATE | GRANT UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|------------|---------------|-----------------|----------|------------|------------|
| 09/626,400 | 07/26/2000 | 2745 | 489 | 5019.4 | 7 | 36 | 3 |

23559
MARC A HUBBARD
MUNSCH HARDT KOPF & HARR PC
4000 FOUNTAIN PLACE
1445 ROSS AVENUE
DALLAS, TX 75202

RECEIVED

SEP 14 2000

MHKH & D

FILING RECEIPT



OC000000005388933

Date Mailed: 09/11/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Na Li, Austin, TX ;
San-qi Li, Plano, TX ;

Continuing Data as Claimed by Applicant

Foreign Applications

If Required, Foreign Filing License Granted 09/09/2000

Title

Method of active dynamic resource assignment in a telecommunication network

Preliminary Class

435

COPY

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Data entry by : WILLIAMS, EVERETT

Team : OIPE

Date: 09/11/2000



LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- o The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- o The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- o The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- o The docket number allows a maximum of 25 characters.
- o If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- o The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
 Office of Initial Patent Examination
 Customer Service Center
 Washington, DC 20231

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#3



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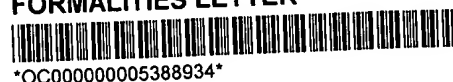
COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/626,400 | 07/26/2000 | Na Li | 5019.4 |

23559
MARC A HUBBARD
MUNSCH HARDT KOPF & HARR PC
4000 FOUNTAIN PLACE
1445 ROSS AVENUE
DALLAS, TX 75202



FORMALITIES LETTER



OC000000005388934

Date Mailed: 09/11/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- Small Entity Statement is unsigned.
- The balance due by applicant is \$ 619.

*A copy of this notice **MUST** be returned with the reply.*

Ernest Williams

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/12/2000 SDENB01 00000062 09626400

01 FC:205

65.00 OP

FILE COPY

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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Bib Data Sheet

2682

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|--|---|-------------------------------|---|--------------------------------------|--------------------------------|
| SERIAL NUMBER 09/626,400 | FILING DATE 07/26/2000 RULE - | CLASS 435 | GROUP ART UNIT 2745 | ATTORNEY DOCKET NO. 5019.4 | |
| APPLICANTS Na Li, Austin, TX ; San-qi Li, Plano, TX ; NM | | | | | |
| ** CONTINUING DATA ***** | | | | | |
| ** FOREIGN APPLICATIONS ***** | | | | | |
| IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 09/09/2000 | | | | | |
| Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no 35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance Verified and Acknowledged <i>[Signature]</i> NM Examiner's Signature Initials | | STATE OR COUNTRY TX | SHEETS DRAWING 7 | TOTAL CLAIMS 36 | INDEPENDENT CLAIMS 3 |
| ADDRESS 23559 | | | | | |
| TITLE Method of active dynamic resource assignment in a telecommunications network | | | | | |
| FILING FEE RECEIVED 554 | FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following: | | <input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit | | |